

Nanocones

A classification and generation result in chemistry

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Outline

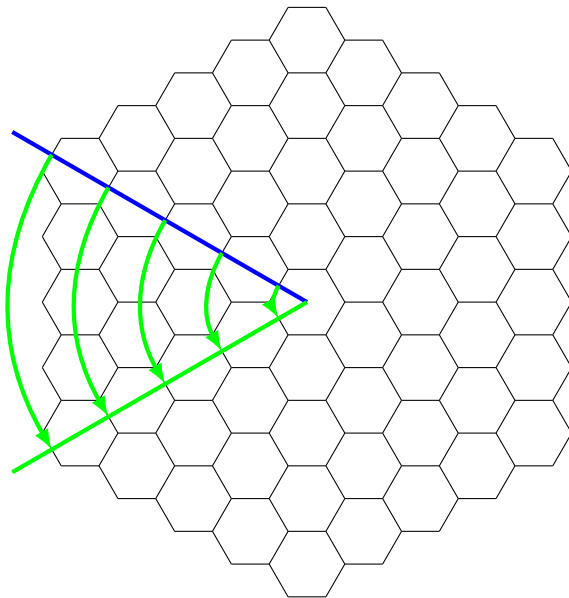
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- 2 Classification
 - Technique
 - Existence
 - Quadrangles
 - Cone paths
- 3 Construction
 - Pseudo-convex patches
 - Two pentagons
 - Number of hexagons
- 4 CaGe

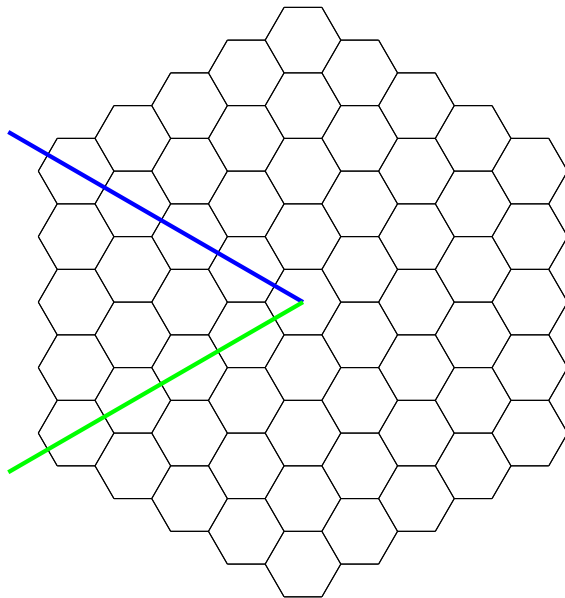


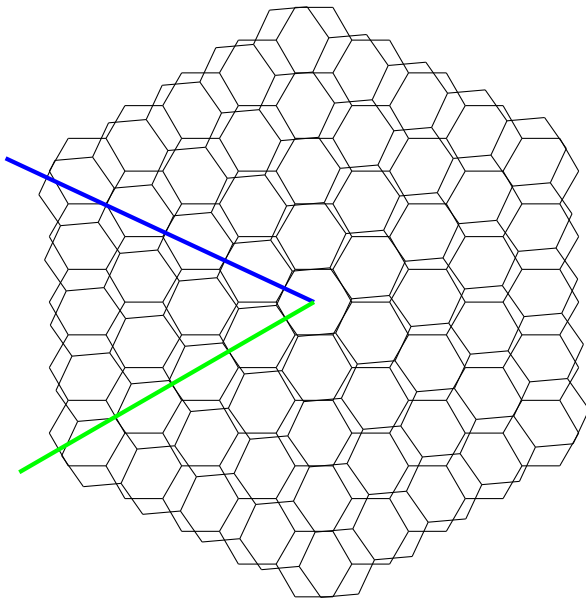


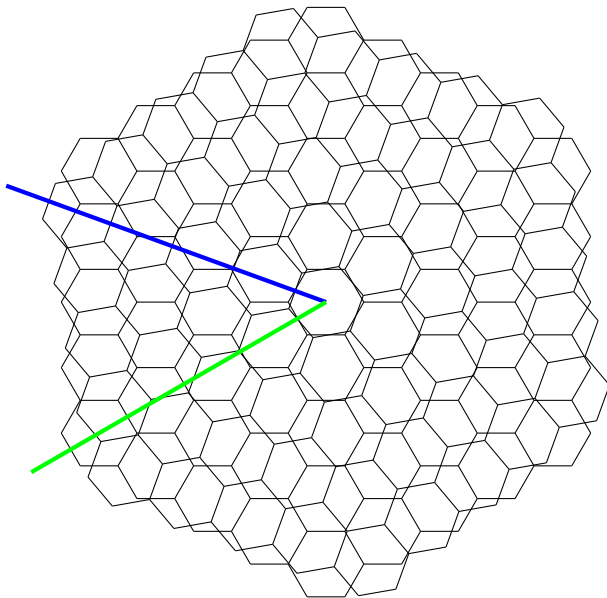
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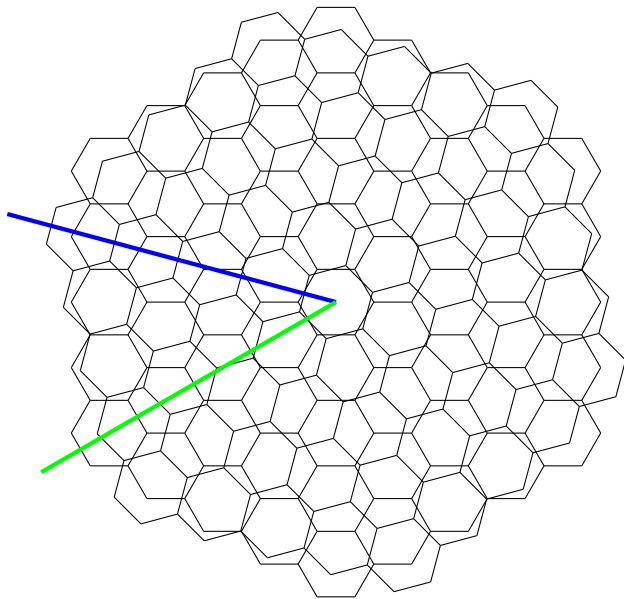


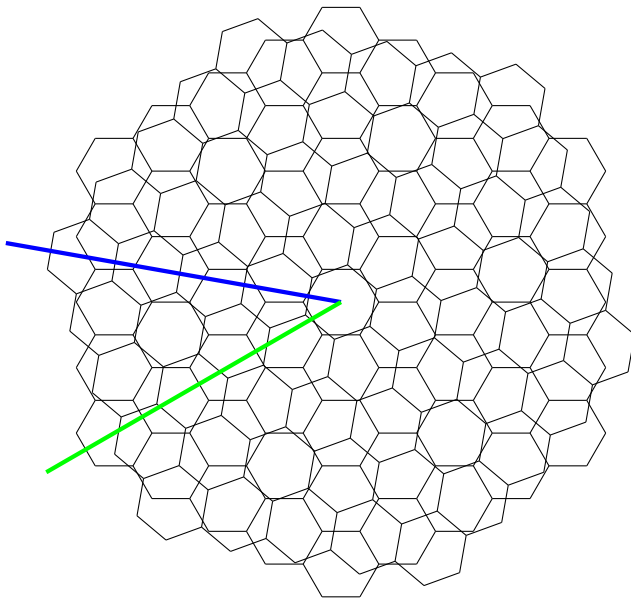


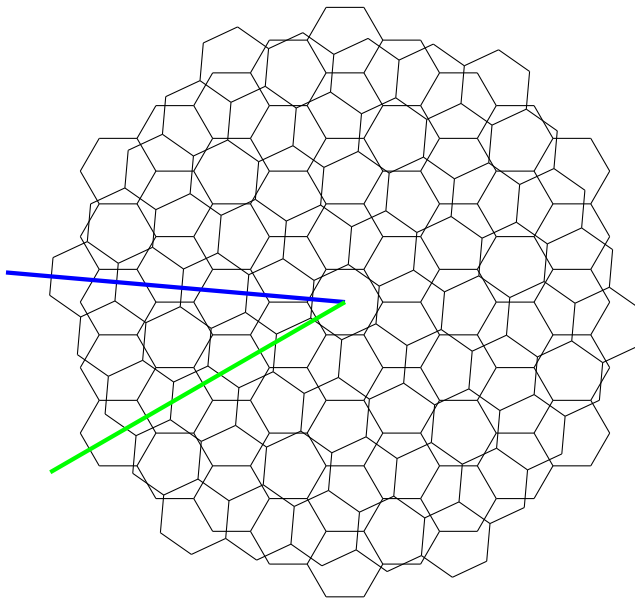


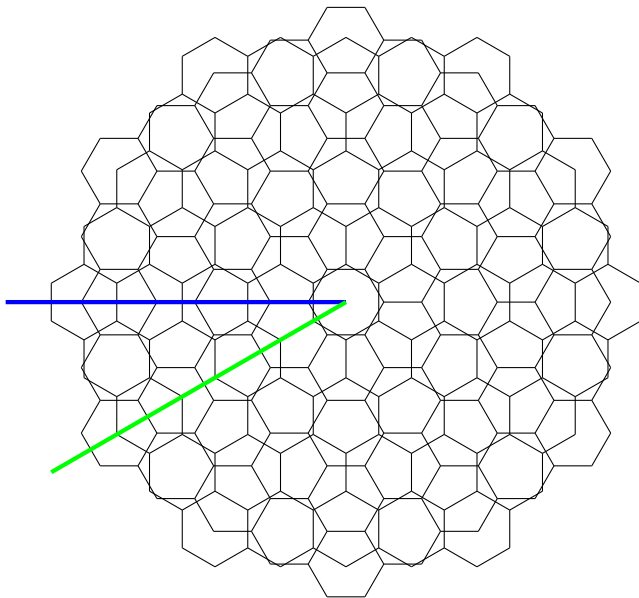


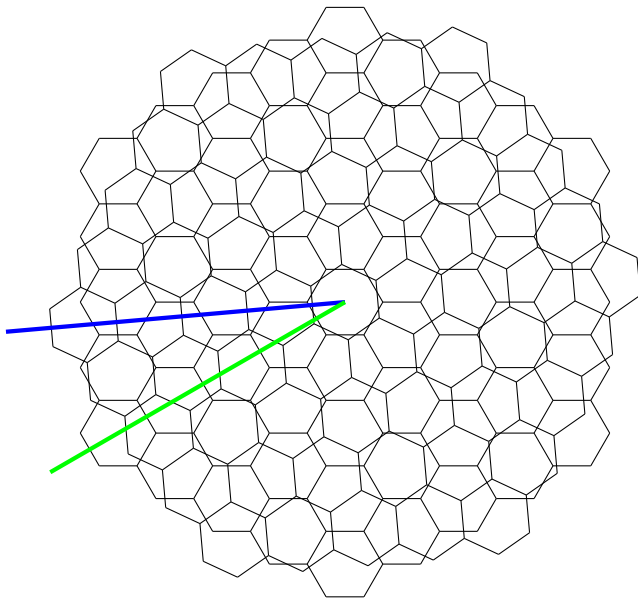


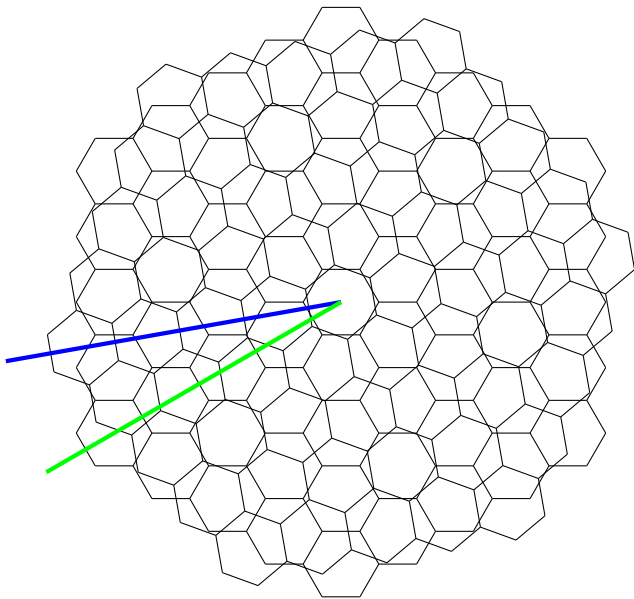


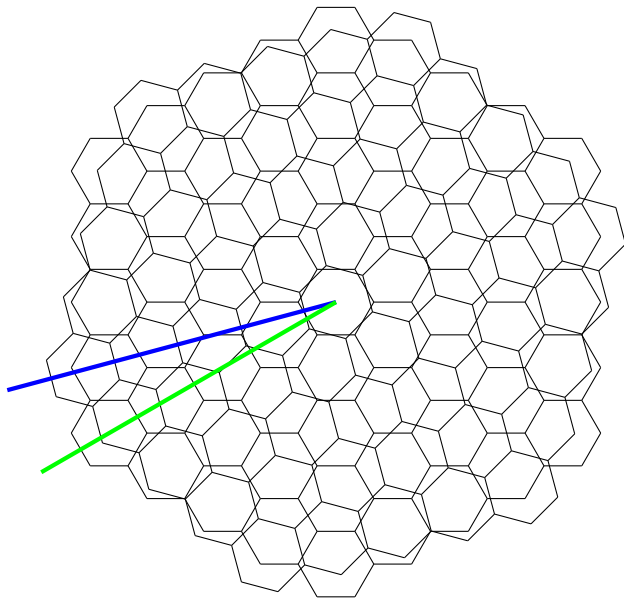


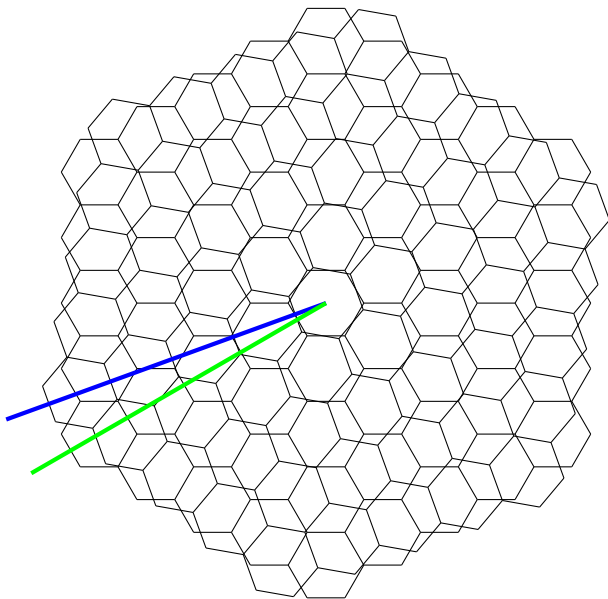


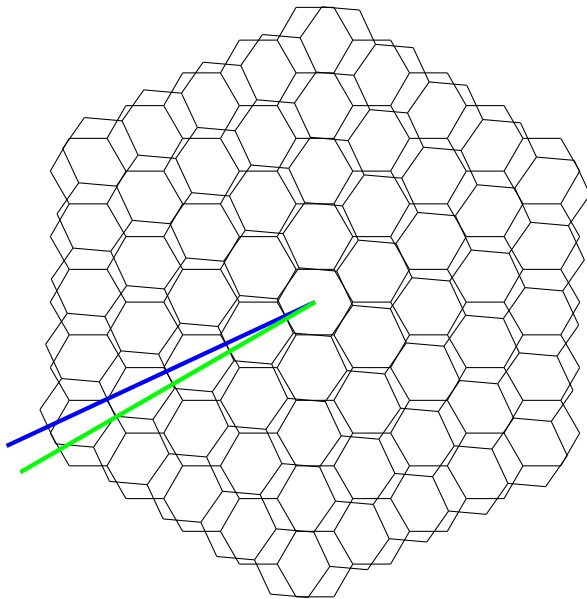


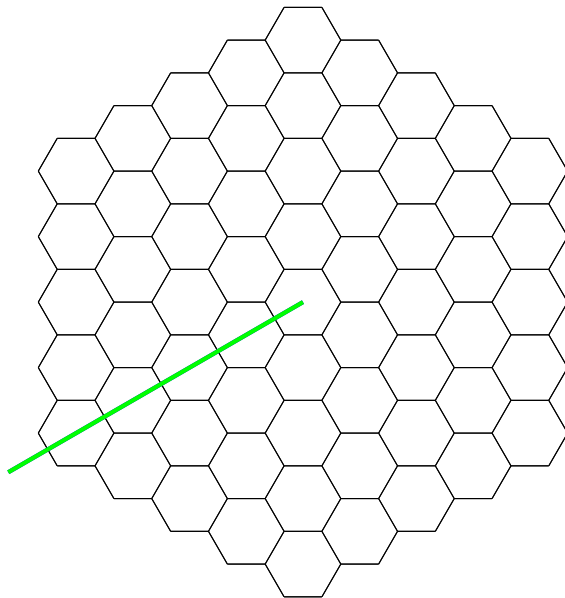


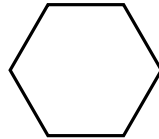


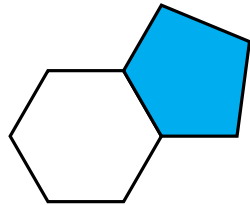
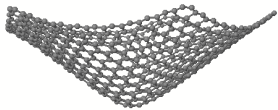


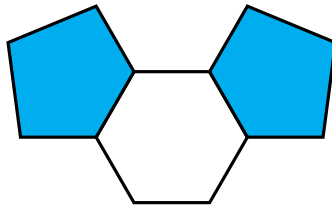
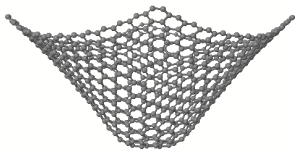


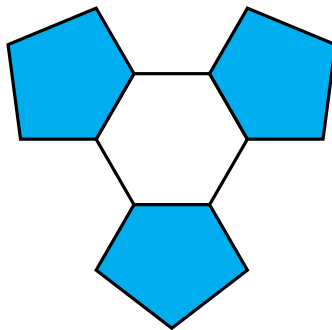
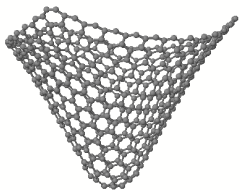


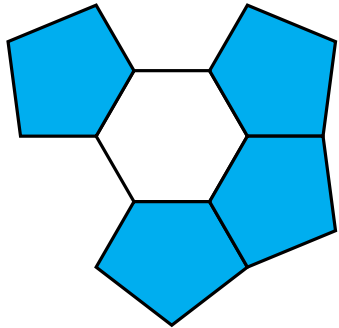
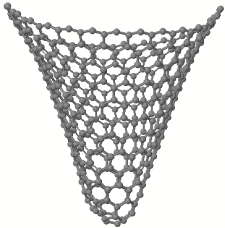


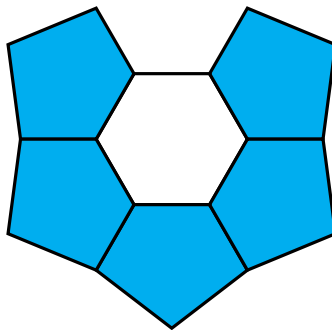
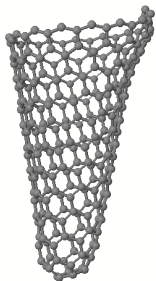


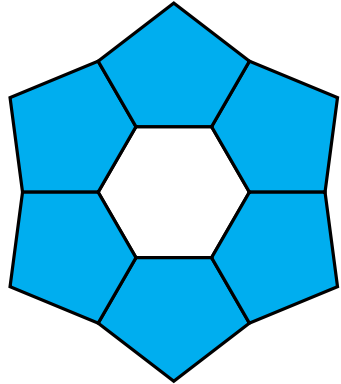






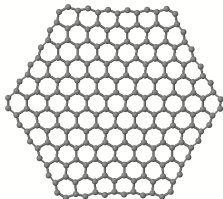




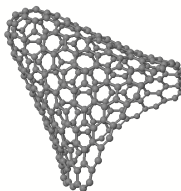


Carbon networks

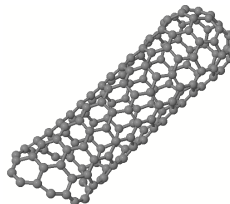
graphene



nanocone



nanotube



all structures infinite

Definition

Nanocone (graph)

A nanocone (graph) is an infinite, cubic, 3-connected, plane graph with $1 \leq p \leq 5$ pentagonal faces and all remaining faces hexagonal.



Classification of cones

History

First: D. Klein and A. Balaban (2002,2006)



Why a new classification?

- an application of an abstract classification result of disordered tilings by L. Balke (1997)
- very easy (using Balke's result)
- very easy also for other structures – you could, e.g., immediately work out the classes for quadrangle cones or even cones of more complicated periodic structures



Outline

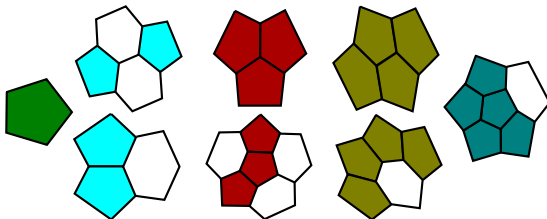
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Classification

Summary

- Infinite number of nanocones
- 8 (infinite) classes based on cone body



Classification

graphene (0 pentagons)

unique structure – so 1 class only

cone with 1 pentagon

unique structure – so 1 class only

nanotubes (6 pentagons)

infinitely many structures **and** infinitely many equivalence classes

a finite number of tubes in each class



Classification of cones

cone with 1 pentagon

unique structure – 1 class

2 to 4 pentagons

infinitely many structures – 2 classes

5 pentagons

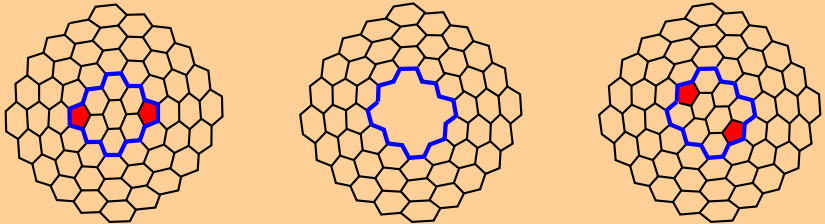
infinitely many structures – 1 class

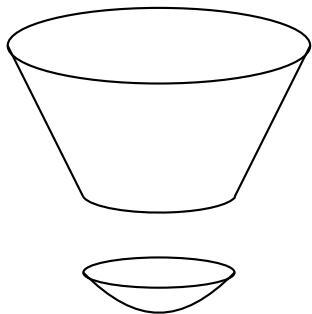


Equivalent structures

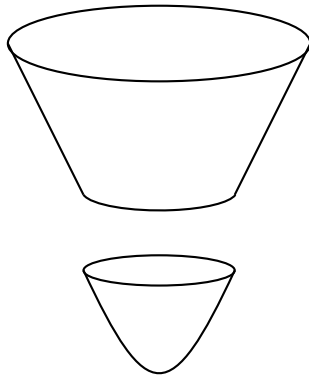
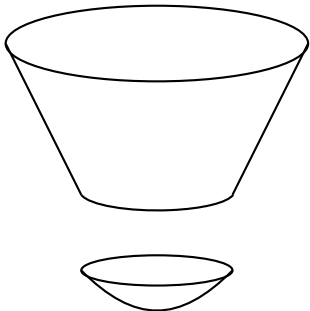
Definition

Two infinite structures are called *equivalent* iff a finite part in both of them can be removed so that the (infinite) remainders are isomorphic.

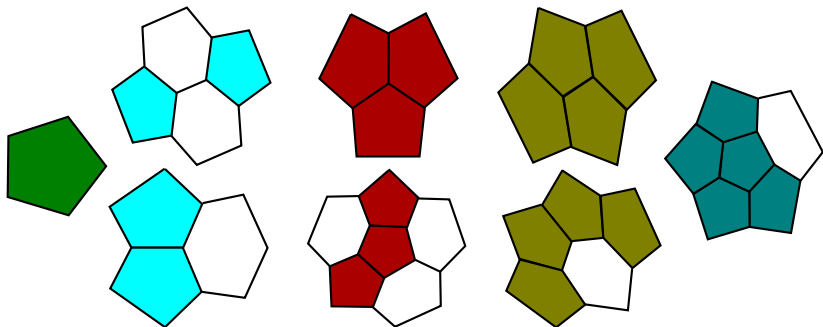




isomorphic cone body \Rightarrow equivalent



Each cone is equivalent to exactly one of the following cones
(only caps shown)



Theorem (L. Balke (1997) rephrased for these circumstances)

A disordered periodic tiling is up to equivalence characterized by

- *the periodic tiling \mathcal{T} that is disordered (the hexagonal lattice in this case)*
- *a winding number (can be neglected here)*
- *a conjugacy class of an automorphism in the symmetry group of \mathcal{T} (rotations of $p \times 60$ degrees)*

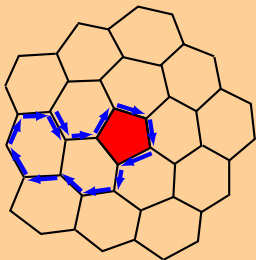


Theorem

Two nanocones are equivalent if and only if they correspond to the same conjugacy class of rotations in the symmetry group of the hexagonal lattice.

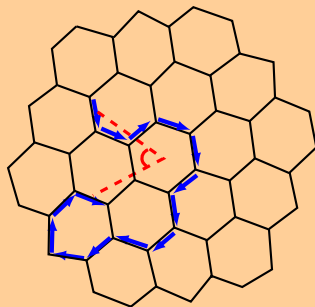


Take **any** closed path around the disorder.



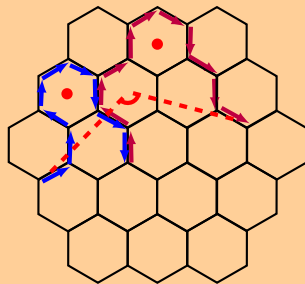
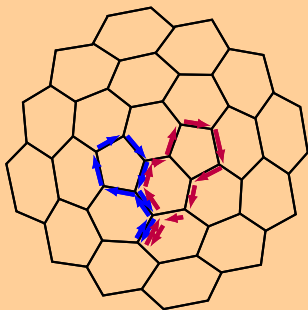
Here: llrrrlrrlrrr.

Follow the **same** path llrrrlrrlrrr in the lattice.



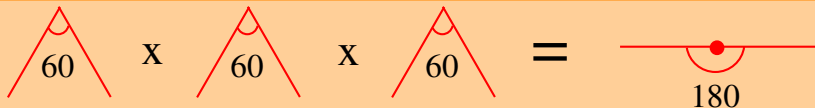
A counterclockwise rotation by 60 degrees.

- Following two paths after each other corresponds to product of rotation.
- Following two paths after each other is equivalent to following one path around both disorders.



This allows to determine possible equivalence classes.

Example: 3 pentagons

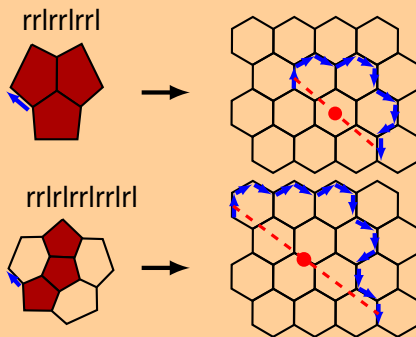


There are two 180 degrees rotation conjugacy classes in the symmetry group of the hexagonal lattice:

- rotation around the center of an edge
- rotation around the center of a face.

So two candidate classes.

Both classes exist for 3 pentagons



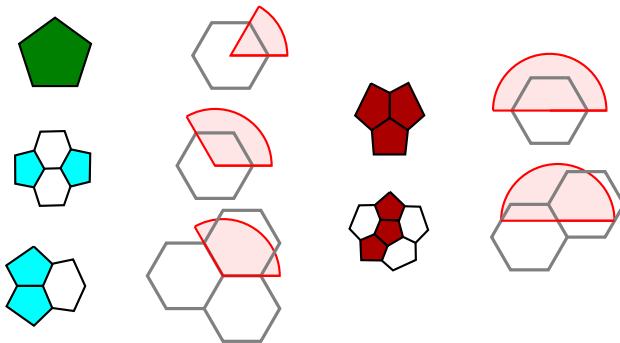
Balke: proof of existence for **general** disorders – not necessarily of the form needed here.

Conjugacy classes of rotations

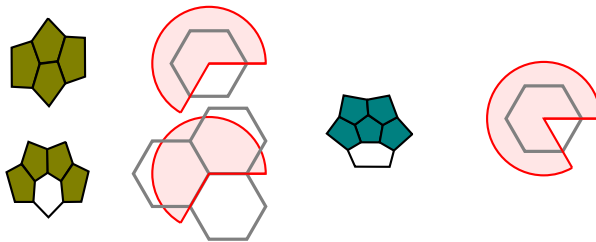
There are 8 conjugacy classes of rotations of $p \times 60$ degrees counterclockwise with $1 \leq p \leq 5$:

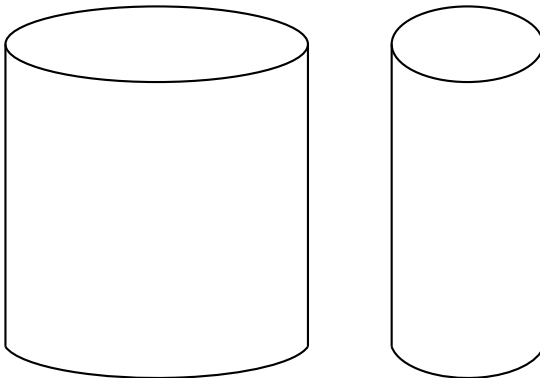
- 60 degrees around center of face
- 120 degrees around center of face
- 120 degrees around vertex
- 180 degrees around center of face
- 180 degrees around center of edge
- 240 degrees around center of face
- 240 degrees around vertex
- 300 degrees around center of face

Existence of the 8 types



Existence of the 8 types

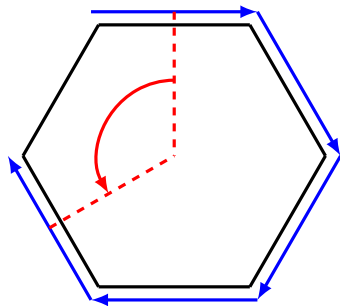
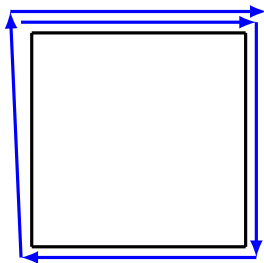




In nanotubes there is an infinite number of these equivalence classes.



Quadrangle cones from hexagonal lattice



One quadrangle (unique structure)

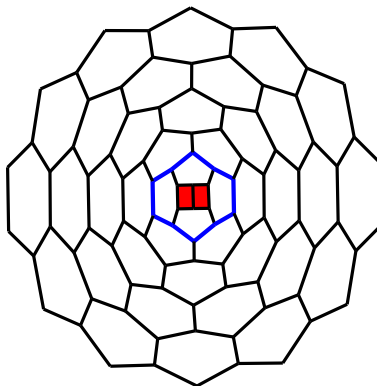
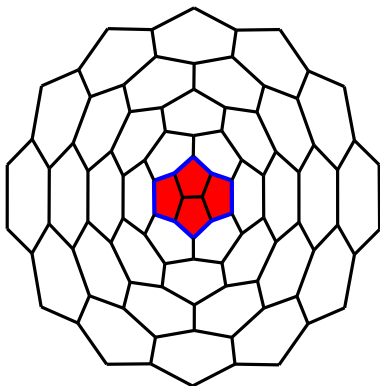
rotation of $120 = (6 - 4) \times 60$ degrees around center of face

Two quadrangles = rotation of 240 degrees

Two conjugacy classes:

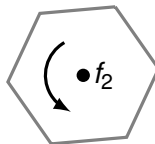
- around the center of a face
- around a vertex

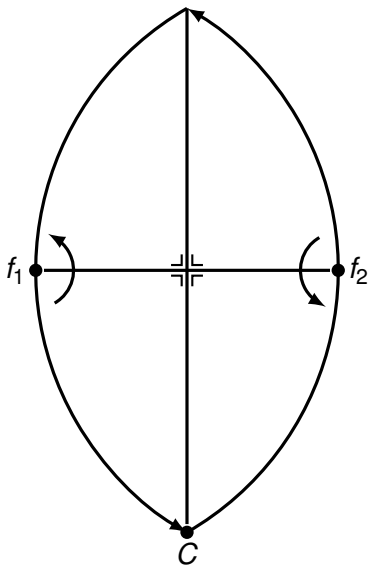


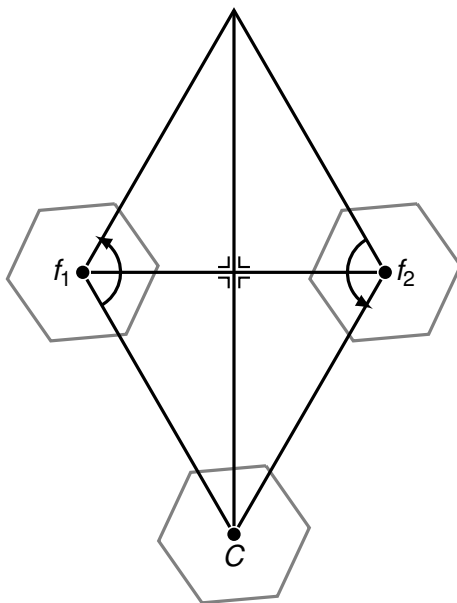


Does there exist a quadrangle cone corresponding to a rotation of 240 degrees around a vertex?









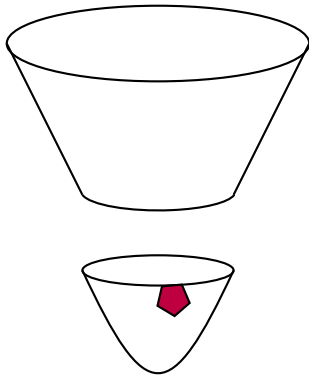
Further classification

In the equivalence classes for nanotubes the region with the pentagons is bounded – the parameters of the class allow to compute upper bounds for this *disordered region* (cap)!

Aim

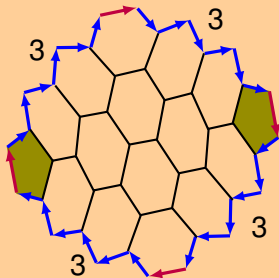
Take the localization of the defects also into account for cones.
Classify by innermost paths of a certain form.





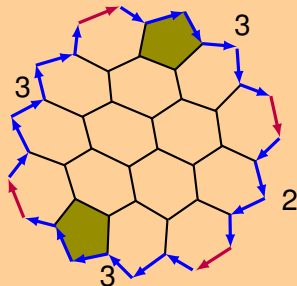
Definitions

“symmetric” conepath



$$((lr)^m r)^{6-p} = ((lr)^3 r)^4$$

“nearsymmetric” conepath



$$((lr)^m r)^{6-p-1} ((lr)^{m-1} r) = ((lr)^3 r)^3 ((lr)^2 r)$$

Note: always $6 - p$ edges with two times right



Definitions

Assume $2 \leq p \leq 5$ fixed.

Definition

A closed path of the form $((lr)^m r)^{6-p}$ (for some m) is called a symmetric path (for p and m).

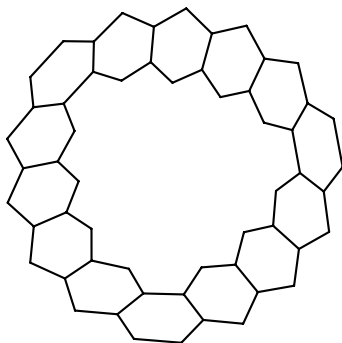
Definition

A closed path of the form $((lr)^m r)^{6-p-1} ((lr)^{m-1} r)$ (for some m) is called a nearsymmetric path (for p and m).

Definition

A closed path in a cone is called a cone path if it is symmetric or nearsymmetric, shares an edge with a pentagon and has only hexagons in its exterior.

Adding a layer of hexagons



$$((lr)^4 r)^2 ((lr)^3 r) \rightarrow ((lr)^5 r)^2 ((lr)^4 r)$$



Finer classification of cones

Theorem

- *In every cone there is a **unique** cone path.*
 - unless** $p = 2$ and there is a nearsymmetric conepath.
In this case there are exactly two isomorphic conepaths with isomorphic interior.



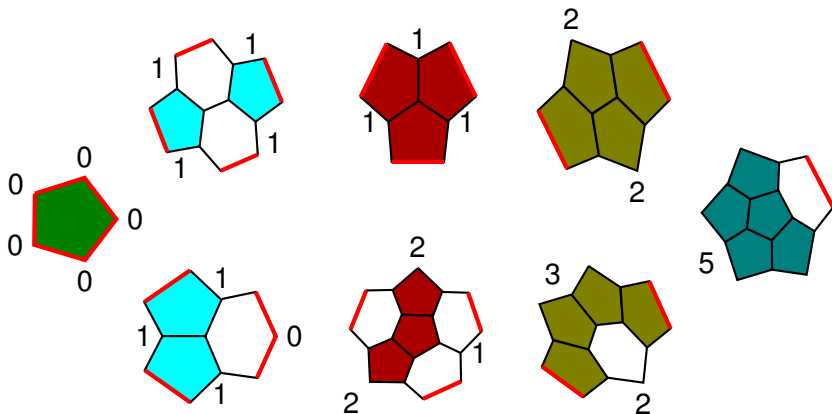
Finer classification of cones

Theorem

So there is a 1-1 correspondence between caps (interiors of cone paths) and cones.

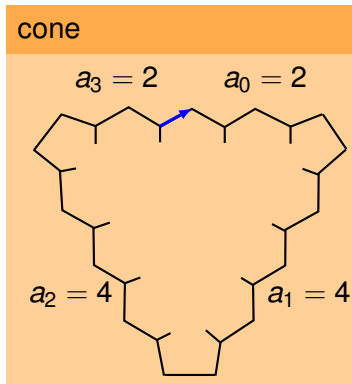


Existence of cone paths

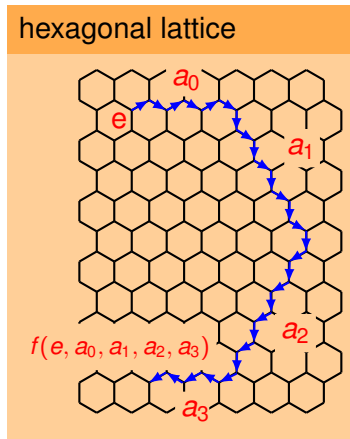


Sketch of the uniqueness proof

cone



hexagonal lattice



Sketch of the uniqueness proof

Method

- if two conepaths exist, they are of the same *type* and share an edge e
- following the two paths in the lattice from the same starting edge gives the same end edge – so
$$f(e, a_0, \dots, a_k) = f(e, a'_0, \dots, a'_k)$$
- solve the equations for the different possible variables a_i

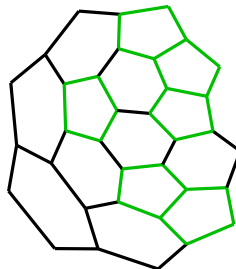
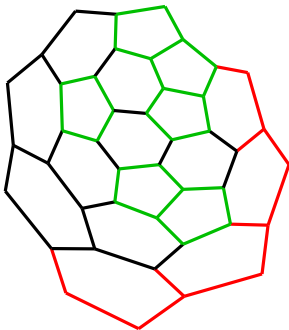


Finer classification of cones

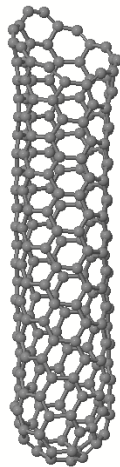
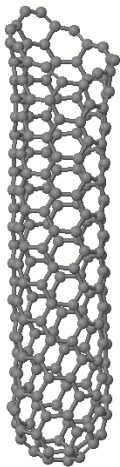
Note

The corresponding result does not hold for nanotubes.





$$rlrlrlrlrlrlrlrlr = (rl)^5(lr)^4$$



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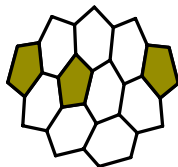
Patch

Definition

A patch is a finite, bridgeless, plane graph with three kinds of faces:

- 1 outer face with unrestricted size,
- 1 to 5 pentagons, and
- an unrestricted number of hexagons.

Furthermore, all internal vertices have degree 3 and all other vertices have degree 2 or 3.



Pseudo-convex patch

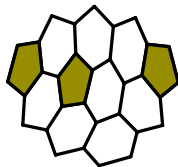
Definition

A pseudo-convex patch is a patch such that the cyclic sequence of degrees on the boundary does not contain two consecutive 3's.

Definition

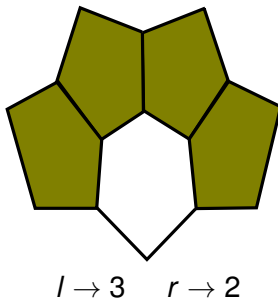
A break-edge is a boundary edge which is incident with two vertices of degree 2.

A patch with p pentagons contains $6 - p$ break-edges.



Pseudo-convex patch

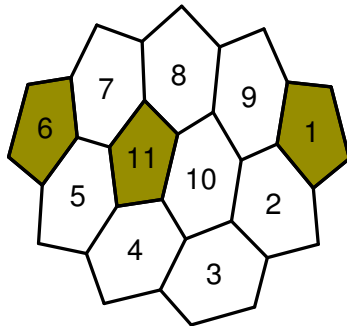
Interior of a cone path is a pseudo-convex patch.



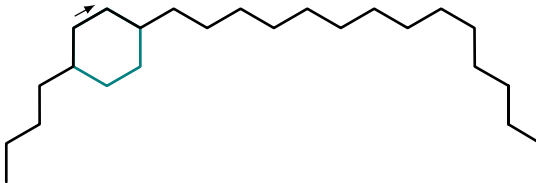
Outer spiral

Brinkmann-Dress, 1997

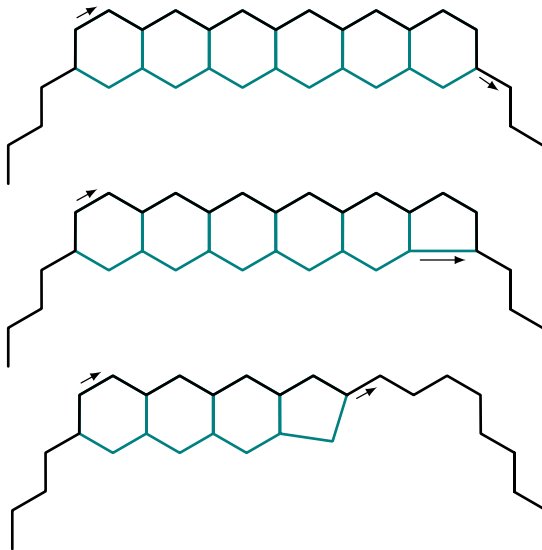
Pseudo-convex patches have an outer spiral.



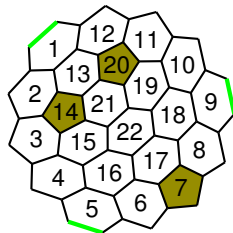
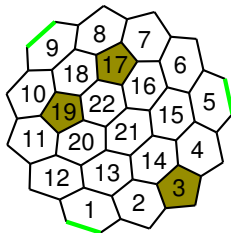
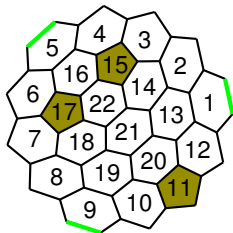
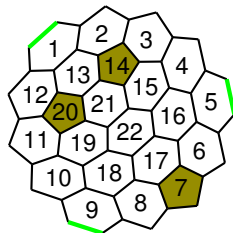
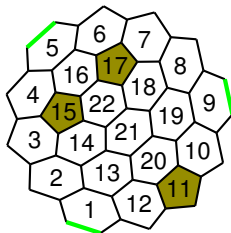
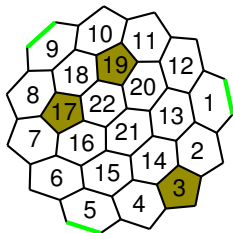
Filling the boundary



Next pseudo-convex boundary



Multiple outer spirals



Starting points

Fix the starting point and starting direction

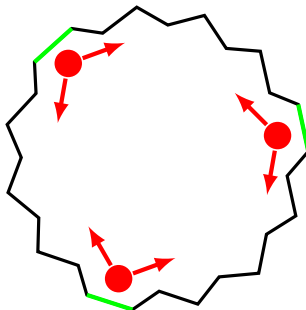
Consider all equivalent starting points!



Starting points

Symmetric cone path

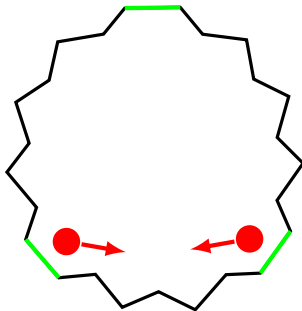
Each break-edge and each direction



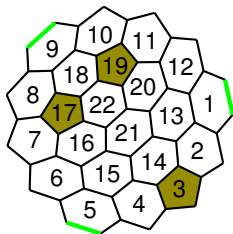
Starting points

Nearsymmetric cone path

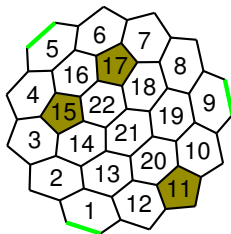
Break-edge next to shortest side and only in the direction of the shortest side



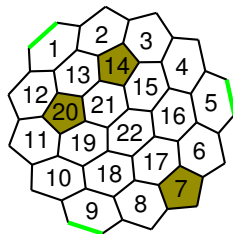
Minimal spiral code



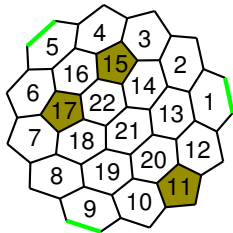
[3,17,19]



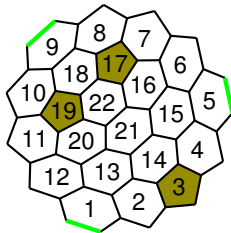
[11,15,17]



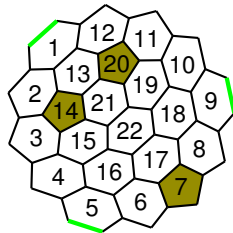
[7,14,20]



[11,15,17]

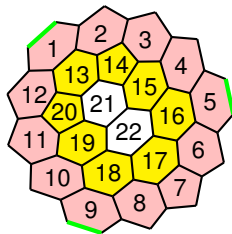
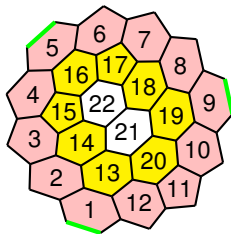
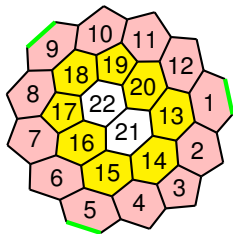


[3,17,19]

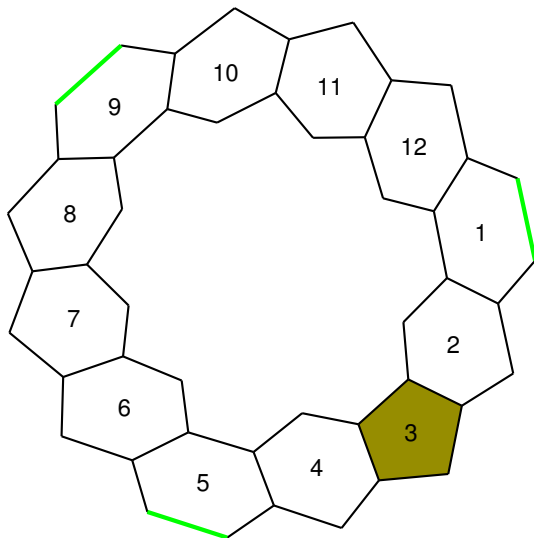


[7,14,20]

Layers



Layer by layer



Isolated pentagons

While constructing the pseudo-convex patch, a pentagon neighbouring a new face can only appear at two positions:

- at a break-edge in the previous layer, and
- as the last added face.



Number of cones per length of short side

	3 s	3 n	4 s	4 n	5
1	1	2	0	0	0
2	3	8	1	2	0
3	5	18	4	9	0
4	12	37	16	32	0
5	18	63	37	89	1
10	124	413	975	2 272	212
15	387	1 288	7 040	16 032	3 941
20	915	2 960	29 342	65 056	31 025
25	1 757	5 646	88 918	194 044	150 732
30	3 039	9 640	220 741	475 422	547 166
35	4 793	15 138	476 101	1 016 193	1 620 501
35	0.1s	0.4s	4.4s	9.8s	4.1s

Timings for Intel I5 processor (1.7 GHz)

Construction of cone caps with two pentagons

All possible positions of the pentagons can be computed directly!

Idea

knowing the center of the rotation given by the boundary, one pentagon determines the position of the other



Two pentagons

Symmetric cone patches with two pentagons

There are $\lceil \frac{n+1}{2} \rceil$ pairwise non-isomorphic cone patches with boundary $(2(23)^n)^4$ and they have spiral code $[i, 2n + i]$ with $i \in \{0, \dots, \lceil \frac{n+1}{2} \rceil - 1\}$.

Nearsymmetric cone patches with two pentagons

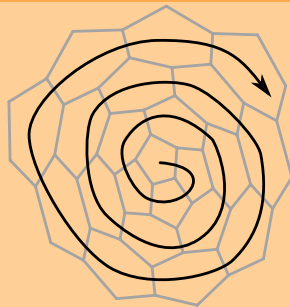
There are $n + 1$ pairwise non-isomorphic cone patches with boundary $2(23)^n(2(23)^{n+1})^3$ and they have spiral code $[i, 2n + 1 + i]$ with $i \in \{n + 1, \dots, n + 2 + \lfloor \frac{n+1}{2} \rfloor, 2n + 2, \dots, 3n + 1 - \lfloor \frac{n}{2} \rfloor\}$.

Number of hexagons

General pseudoconvex patches

Bornhöft, Brinkmann, Greinus (2003)

Extremal case is spiral that starts with all pentagons and then hexagons



Number of hexagons

General pseudoconvex patches

Upperbounds by Bornhöft, Brinkmann, Greinus (2003)

$$p = 1 \Rightarrow h \leq \frac{b^2 - 25}{40}$$

$$p = 4 \Rightarrow h \leq \frac{b^2 - 100}{16}$$

$$p = 2 \Rightarrow h \leq \frac{b^2 - 64}{32}$$

$$p = 5 \Rightarrow h \leq \frac{b^2 - 113}{8}$$

$$p = 3 \Rightarrow h \leq \frac{b^2 - 81}{24}$$



Number of hexagons in cone patches

Pentagon in boundary! \Rightarrow maximal spiral not possible

Idea

- insert vertex into boundary edge of a pentagon
- boundary length increases by one
- number of faces equal
- upperbound on number of hexagons is one more than upperbound of original patch



Number of hexagons in cone patches

symmetric

$$p = 2 \Rightarrow h \leq \frac{8m^2 + 10m - 5}{5}$$

$$p = 3 \Rightarrow h \leq \frac{9m^2 + 12m - 44}{16}$$

$$p = 4 \Rightarrow h \leq \frac{2m^2 + 3m - 12}{3}$$

$$p = 5 \Rightarrow h \leq \frac{m^2 + 2m - 28}{4}$$

nearsymmetric

$$p = 2 \Rightarrow h \leq \frac{8m^2 + 22m + 7}{5}$$

$$p = 3 \Rightarrow h \leq \frac{9m^2 + 24m - 32}{16}$$

$$p = 4 \Rightarrow h \leq \frac{2m^2 + 5m - 10}{3}$$

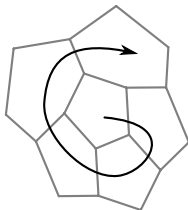


Number of hexagons in cone patches

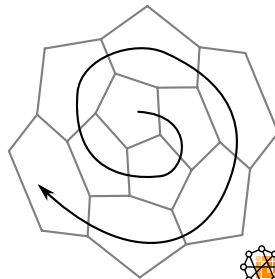
5 pentagons

$$h \leq \left\lfloor \frac{m^2 + 2m - 28}{4} \right\rfloor \text{ is sharp}$$

m odd



m even



Number of hexagons in cone patches

2 pentagons

$$h \leq \left\lfloor \frac{8m^2 + 10m - 5}{5} \right\rfloor \quad (\text{symmetric case}) \text{ and}$$

$$h \leq \left\lfloor \frac{8m^2 + 22m + 7}{5} \right\rfloor \quad (\text{nearsymmetric case}) \text{ are } \textit{not} \text{ sharp}$$

$$h \leq \left\lfloor \frac{5m^2 + 8m - 4}{4} \right\rfloor \quad (\text{symmetric case}) \text{ and}$$

$$h \leq \left\lfloor \frac{5m^2 + 16m + 4}{4} \right\rfloor \quad (\text{nearsymmetric case}) \text{ are sharp}$$



Outline

- 1 Introduction
- 2 Classification
 - Technique
 - Existence
 - Quadrangles
 - Cone paths
- 3 Construction
 - Pseudo-convex patches
 - Two pentagons
 - Number of hexagons
- 4 CaGe



CaGe

The program can be used inside the environment CaGe:

<http://caagt.ugent.be/CaGe>

<https://www.math.uni-bielefeld.de/~CaGe/>

<https://github.com/CaGe-graph/CaGe>



What is CaGe?

Chemical and **a**bstract **G**raph **e**nvironment

a graphical user interface for a set of commandline generators and embedders

user interface

written in Java

generators and embedders

written in C and Java (any language will do)



User interface

Having a user interface
 \neq
Being a user friendly program



Example

```
cone -i -e p 3 4 n 6
```

generates all nanocones with exactly 3 isolated pentagons that have a cone path of the form $2(23)^4(2(23)^5)^2$ and adds six layers of hexagons to the patch.

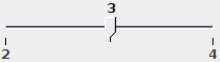


And now with CaGe

Generator Options: tubes and cones

nanotubes **nanocones**

symmetric nonsymmetric

Number of pentagons  3
1 2 4

Length of longest Side

isolated pentagons (ipr)

Add a number of hexagon layers

```

3e 3e 70 6c 61 6e 61 72 5f 63 6f 64 65 3c 3c 00 6a 01 02 00 36
00 00 00 03 00 01 00 00 00 04 00 37 00 02 00 00 00 05 00 03 00
00 00 06 00 39 00 04 00 00 00 07 00 05 00 00 00 08 00 3b 00 06
00 00 00 09 00 07 00 00 00 0a 00 3d 00 08 00 00 00 0b 00 09 00
00 00 0c 00 3f 00 0a 00 00 00 0d 00 0b 00 00 00 0e 00 41 00 0c
00 00 00 0f 00 0d 00 00 00 10 00 43 00 0e 00 00 00 11 00 0f 00
00 00 12 00 45 00 10 00 00 00 13 00 11 00 00 00 14 00 47 00 12
00 00 00 15 00 13 00 00 00 16 00 49 00 14 00 00 00 17 00 15 00
00 00 18 00 4b 00 16 00 00 00 19 00 17 00 00 00 1a 00 4d 00 18
00 00 00 1b 00 19 00 00 00 1c 00 4f 00 1a 00 00 00 1d 00 1b 00
00 00 1e 00 1c 00 00 00 1f 00 51 00 1d 00 00 00 20 00 1e 00 00
00 21 00 52 00 1f 00 00 00 22 00 20 00 00 00 23 00 54 00 21 00
00 00 24 00 22 00 00 00 25 00 56 00 23 00 00 00 26 00 24 00 00
00 27 00 58 00 25 00 00 00 28 00 26 00 00 00 29 00 5a 00 27 00
00 00 2a 00 28 00 00 00 2b 00 5c 00 29 00 00 00 2c 00 2a 00 00
00 2d 00 5e 00 2b 00 00 00 2e 00 2c 00 00 00 2f 00 60 00 2d 00
00 00 30 00 2e 00 00 00 31 00 62 00 2f 00 00 00 32 00 30 00 00
00 33 00 64 00 31 00 00 00 34 00 32 00 00 00 35 00 66 00 33 00

```

Output

`cone` and the other generators in CaGe output a binary format, e.g., planar code.

The screenshot shows a web interface for configuring the output of the CaGe software. It is divided into four sections by horizontal lines:

- Pre-filter graphs:** A checkbox labeled "Pre-filter graphs" is followed by an empty text input field.
- 3D representation:** A checked checkbox "3D representation" is followed by radio buttons for "Viewer" (selected), "File", and "Pipe". Below this are checkboxes for "Jmol Viewer" (checked) and "text viewer" (unchecked).
- 2D representation:** A checked checkbox "2D representation" is followed by radio buttons for "Viewer" (selected), "File", "Pipe", and "Batch". Below this are checkboxes for "TwoView" (checked) and "text viewer" (unchecked).
- Adjacency information:** A checkbox "Adjacency information" is followed by radio buttons for "File" and "Pipe".

Recent additions

- Rotation and stepless scaling of vertices in 2D viewer
- Batch export of 2D images
- `buckygen` as generator for fullerenes
- Upgraded `plantri` to version 4.5
- New embedder for benzenoids
- New generator for 5-regular plane graphs
- New generator for generalised fusenes
- Made default embedder more customisable
- Export for 3D printing



CaGe

The program can be used inside the environment CaGe:

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<https://www.math.uni-bielefeld.de/~CaGe/>

<https://github.com/CaGe-graph/CaGe>



Nanocones

A classification and generation result in chemistry

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Combinatorial Algorithms and Algorithmic Graph Theory
Department of Applied Mathematics, Computer Science and Statistics
Ghent University

